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PATENT
008446USAP01
7828.7105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
GOLDBERG, et al.
Serial No.: 10/696,782
Filed: October 29, 2003

) Examiner: Not Assigned
)
) Art Unit: 2881
)

For: ION IMPLANTER ELECTRODES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application.
 - ☐ The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
 - ☐ The specification incorporates comments on the relevancy of Non-English language references.
 - ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- ☐ within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - ☐ within three months of the date of entry into the national stage as set forth in 1.491 in an international application;

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01 FC:1806 180.00 DA

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Adjustment Date: 06/13/2005 SDIRETA1
02/15/2005 RFEKADU1 00000041 500585 10696782
01 FC:1806 180.00 CR

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Deposit Account No. 50-0585
Konrad Raynes & Victor LLP
315 South Beverly Drive, Suite 210
Beverly Hills, California 90212

Customer No. 24033
Tel: 310-556-7983
Fax: 310-556-7984

**Request for Refund of
Improper Charges to Deposit Account**

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Deposit Account number 50-0585 has been charged for patent filing fees in the month of February, 2005. A summary of the disputed charge(s) follows:

<u>Date:</u>	<u>Serial No.:</u>	<u>Attorney Docket No.:</u>	<u>Fee Code:</u>	<u>Amount:</u>
2/15/05	10/696,782	64997	1806	\$180.00

☐ Applicants request a refund of the above-noted charge on the basis that all fees due were paid by Applicants' representative on submission of the filing in question. Copies of the receipt postcard and check for the matter in question are attached.

☒ Applicants request a refund of the above-noted charge on the basis that no fee was due for the filing in question. A copy of the patent filing transmittal for the matter in question is attached.

A refund of \$180.00 to Deposit Account number 50-0585 is respectfully requested.


William K. Konrad
Reg. No. 28,868
KONRAD RAYNES & VICTOR, LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212
(310) 556-7983

Dated: 4/27/05

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UNITED STATES
PATENT AND
TRADEMARK OFFICE

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

KONRAD RAYNES & VICTOR LLP

FINA

315 SOUTH BEVERLY DRIVE
SUITE 210
BEVERLY HILLS CA 90212

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For: ION IMPLANTER ELECTRODES

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P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application.
 - ☐ The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
 - ☐ The specification incorporates comments on the relevancy of Non-English language references.
 - ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- ☐ within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - ☐ within three months of the date of entry into the national stage as set forth in 1.491 in an international application;

- ☒ before the mailing date of a first Office Action on the merits; or
 - ☐ before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)


I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

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If required under paragraph B or C *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,


William K. Konrad
Reg. No. 28,868

2/9/05
Date

Konrad Raynes & Victor, LLP
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Patent Counsel
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P.O. Box 450A
Santa Clara, CA 95052

Direct telephone calls to:

William K. Konrad
(310) 553-7970

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


William K. Konrad
2/9/05
(Date)